

# Primes - Problem Sheet 4 - Solutions

## Properties of quadratic forms

Q1) Let  $f(x_1, \dots, x_n)$  be a quadratic form (with coefficients over some ring  $R \supset \mathbb{Z}$ ). Show that

$f$  is integral implies  $2f$  is *classically* integral.

**Solution:** Integral means

$$\text{mat}(f) = \begin{pmatrix} a_{11} & \frac{1}{2}a_{ij} \\ \frac{1}{2}a_{ij} & a_{nn} \end{pmatrix}$$

implies

$$\text{mat}(2f) = \begin{pmatrix} 2a_{11} & a_{ij} \\ a_{ij} & 2a_{nn} \end{pmatrix},$$

which means  $2f$  is classically integral.

Q2) Suppose that  $f(x_1, \dots, x_n)$  is a non-primitive integral quadratic form. Show that  $f(x_1, \dots, x_n)$  can represent at most one prime.

**Solution:** Since  $f$  is not primitive, let  $d = \gcd(r_{ij})$  be the common divisor of all coefficients. Then for any  $a_i \in \mathbb{Z}^n$  we have  $d \mid f(a_1, \dots, a_i)$ .

If  $f$  represents the prime  $p$ , then  $d \mid p$ , so  $d = p$ . Hence all values represented by  $f(x_1, \dots, x_n)$  are divisible by  $p$ . The only prime divisible by  $p$  is  $p$  itself.

Q3) Suppose  $f(x, y) = ax^2 + bxy + cy^2$  is an integral binary quadratic form, with discriminant  $D = b^2 - 4ac$ .

i) Show that  $f$  is indefinite if  $D > 0$ .

ii) Show that  $f$  is positive (respectively negative) definite if  $D < 0$  and  $a > 0$  (respectively  $a < 0$ ).

iii) What happens when  $D = 0$ ? What happens if  $D > 0$  is a perfect square?

Hint: Complete the square!

**Solution:** We write

$$af(x, y) = a(ax^2 + bxy + cy^2) = (ax + by/2)^2 - \underbrace{(b^2/4 - ac)}_{D/4} y^2.$$

If  $D < 0$ , then  $af(x, y)$  is the sum of two squares, so is  $\geq 0$ . It equals 0 if and only if  $y = 0$  and  $ax + by/2 = 0$ , i.e.  $x = y = 0$ . So  $f(x, y) = \frac{1}{a}((ax + by/2)^2 - \underbrace{(b^2/4 - ac)}_{D/4} y^2)$  is positive-definite if  $a > 0$  and negative definite

if  $a < 0$ . Since  $D < 0$ , we cannot have  $a = 0$ .

For  $D > 0$ , not a perfect square, we must have  $a \neq 0$ . (Else  $D = b^2$ .) Write  $f(x, y) = \frac{1}{a}(ax + by/2)^2 - \frac{D}{4a}y^2$ . Taking  $y = 0$ , and  $x = 1$  gives  $a$ . Taking  $y = 2a$  and  $x = b$  gives  $f(b, -2a) = -\frac{D}{4a}(2a)^2$ , which has the opposite sign to  $a$ . Hence  $f(x, y)$  is indefinite.

For  $D$  a perfect square, then we can factor the polynomial (over  $\mathbb{Q}$  at first, and so over  $\mathbb{Z}$  by the Gauss lemma for polynomials). If  $D = 0$ , then the root  $r = \frac{\beta}{\alpha}$  of  $f(x, 1)$  is repeated, so we factor  $f(x, y)$  as  $a(\beta x - \alpha y)^2$ . (Note  $f(x, y) = y^2 f(x/y, 1)$ .) If  $a = 0$  the polynomial is identically 0. If  $a > 0$  it is positive-semidefinite by taking  $y = \beta, x = \alpha$ . If  $a < 0$ , it is negative-semidefinite.

If  $D \neq 0$ , then  $f(x, 1)$  takes some positive values and some negative values, between the roots and outside the roots we get different signs! Let  $x = p/q$  give a positive, and  $x = r/s$  a negative. Then  $f(p, q) = q^2 f(p/q, 1) > 0$  and  $f(r, s) = s^2 f(r/s, 1) < 0$ . So  $f(x, y)$  is indefinite (and non-trivially represents 0). (Perhaps this should be semi-indefinite?)

Q4) Let  $f(x, y) = ax^2 + bxy + cy^2$  be a binary quadratic form, of discriminant  $D = b^2 - 4ac$ . Show that  $D \equiv 0, 1 \pmod{4}$ , and that every such  $D$  occurs.

**Solution:** By reducing modulo 4, we see that  $D \equiv b^2 \pmod{4}$ , and the squares modulo 4 are  $0^2, (\pm 1)^2, 2^2 \equiv 0, 1 \pmod{4}$ . Conversely, if  $D = 4k$ , then  $x^2 - ky^2$  has discriminant  $4k$ . Whereas for  $D = 4k + 1$ ,  $x^2 + xy - ky^2$  has discriminant  $4k + 1$ .

Q5) Show that  $R$ -equivalence is an equivalence relation on  $n$ -ary quadratic forms over  $R$ . Show

i) The form  $f$  is equivalent to  $f$ ,

ii) If  $f$  is equivalent to  $g$ , then  $g$  is equivalent to  $f$ , and

iii) If  $f$  equivalent to  $g$ , and  $g$  equivalent to  $h$ , then  $f$  equivalent to  $h$ .

Check also for  $\text{SL}_n(\mathbb{Z})$ -equivalence, when  $R = \mathbb{Z}$ .

**Solution:**

- The identity matrix  $B = I_n$  shows  $f$  is  $\text{SL}_n(\mathbb{Z})/\text{GL}_n(\mathbb{Z})$ -equivalent to  $f$ .
- If  $B$  gives equivalence of  $f$  to  $g$  then  $B^{-1}$  gives equivalence of  $g$  to  $f$ . As  $\det(B) = \det(B^{-1})$ , this works for  $\text{SL}_n(\mathbb{Z})$  equivalence too.
- If  $B$  gives equivalence  $f$  to  $g$ , and  $C$  gives equivalence  $g$  to  $h$ . Then  $BC$  gives the equivalence  $f$  to  $h$ . Since  $\det(BC) = \det(B)\det(C)$ , this holds for  $\text{SL}_n(\mathbb{Z})$  equivalence too.

Q6) Suppose  $f$  and  $g$  are  $\text{GL}_n(R)$ -equivalent quadratic forms. Show

i)  $\det(f)$  and  $\det(g)$  differ by a square

$$\det(f) = \lambda^2 \det(g),$$

for some  $\lambda \neq 0 \in R^*$ . How does  $\lambda$  arise from the equivalence of  $f$  to  $g$ ?

ii) For  $R = \mathbb{Z}$ , conclude  $\det(f) = \det(g)$ , and explain why  $\text{GL}_n(\mathbb{Z})$ -equivalent integral binary quadratic forms have the same discriminant.

**Solution:** Let  $B$  give the equivalence, then  $\text{mat}(g) = B^T \text{mat}(f)B$ . Taking determinants gives

$$\det(g) = \det(B)^2 \det(f).$$

So  $\lambda = \det(B)^{-1} \in R^*$ .

Since  $\mathbb{Z}^* = \{\pm 1\}$ , we get  $\lambda^2 = 1$ , meaning equivalent integral forms have the same discriminant.

Q7) Suppose  $f$  and  $g$  are  $\text{GL}_n(R)$ -equivalent quadratic forms. Show

i)  $f$  represents  $r \in R$  if and only if  $g$  represents  $r \in R$ .

ii) For  $R = \mathbb{Z}$ ,  $f$  represents  $n \in \mathbb{Z}$  properly, if and only if  $g$  represents  $n \in \mathbb{Z}$  properly. Check also for  $\text{SL}_n(\mathbb{Z})$ -equivalence.

Use this to show that

$$x^2 + 14y^2, 2x^2 + 7y^2 \text{ and } 3x^2 + 2xy + 5y^2$$

are not  $\text{GL}_n(\mathbb{Z})$ -equivalent.

**Solution:** If  $r = f(a_1, \dots, a_n)$ , and  $g(\vec{x}) = f(B\vec{x})$ , then  $g(B^{-1}\vec{x}) = f(\vec{x})$ . So we can write  $g(B^{-1}(a_1, \dots, a_n)^\top) = f(a_1, \dots, a_n) = r$ , to see  $(a'_1, \dots, a'_n)^\top = B^{-1}(a_1, \dots, a_n)^\top$  gives a representation of  $r$  by  $g$ .

By symmetry, we get  $f$  represents  $r$  if and only if  $g$  represents  $r$ .

This holds for  $B \in \text{GL}_n(R)$ , and also for proper equivalence  $B \in \text{SL}_n(\mathbb{Z})$ .

If  $\gcd(a_i) = 1$ , then we cannot have  $\gcd(a'_i) > 1$ . For if  $\gcd(a'_i) = d$ , then  $(a_1, \dots, a_n)^\top = B^{-1}(a'_1, \dots, a'_n)^\top$  and each entry is divisible by  $d$ , showing  $\gcd(a_1, \dots, a_n) \geq d$ . So  $f(a_1, \dots, a_n)$  is a proper representation of  $r$  implies  $g(a'_1, \dots, a'_n)$  is a proper representation of  $r$ .

Q8) Suppose  $f$  and  $g$  are integral  $n$ -ary quadratic forms. Then  $2f$  and  $2g$  are classically integral. Show that

$f$  is  $\text{GL}_n(\mathbb{Z})$ -equivalent to  $g$  if and only if  $2f$  is  $\text{GL}_n(\mathbb{Z})$ -equivalent to  $2g$ .

Check also for  $\text{SL}_n(\mathbb{Z})$ -equivalence.

**Solution:** Suppose  $f$  is equivalent to  $g$  via  $B$ . The matrix of  $2f$  is given by  $2\text{mat}(f)$ . Then

$$g(\vec{x}) = x^\top Gx = x^\top B^\top GBx = x^\top Fx = f(B\vec{x})$$

if and only if

$$2g(\vec{x}) = x^\top (2G)x = x^\top B^\top (2G)Bx = x^\top 2(F)x = (2f)(B\vec{x}).$$

So  $2f$  is equivalent to  $2g$  via  $B$ . And conversely.

Q9) Suppose  $f, g, h$  are integral quadratic forms. Suppose  $f$  and  $g$  are improperly equivalent, and  $g$  and  $h$  are improperly equivalent. Show that  $f$  and  $h$  are *properly* equivalent.

**Solution:** Matrix  $B$  gives improper equivalence between  $f$  and  $g$ . Matrix  $C$  gives improper equivalence between  $g$  and  $h$ . Then  $B, C \in \text{GL}_n(\mathbb{Z})$  with  $\det(B) = \det(C) = -1$ .

The matrix  $CB$  gives equivalence between  $f$  and  $h$ , and  $\det(CB) = \det(C) \det(B) = (-1)^2 = 1$ . This is a proper equivalence between  $f$  and  $g$ .